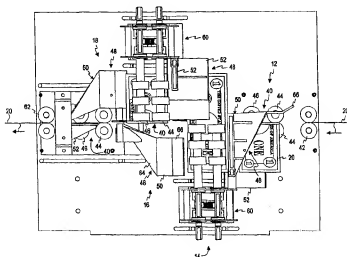




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>B31F 1/00, B07C 5/00</b>		A1	(11) International Publication Number: <b>WO 00/24572</b>
		(43) International Publication Date: 4 May 2000 (04.05.00)	
(21) International Application Number: PCT/US99/23421		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 8 October 1999 (08.10.99)		<p><b>Published</b> With international search report.</p>	
(30) Priority Data: 09/181,254 28 October 1998 (28.10.98) US			
(71) Applicant: CUMMINS-ALLISON CORP. [US/US]; 891 Feehanville Drive, Mount Prospect, IL 60056 (US).			
(72) Inventors: MENNIE, Douglas; 229 Wood Street, Barrington, IL 60010 (US). SEELNBINDER, Terry; 1302 Wood Trail, Elk Grove Village, IL 60007 (US). JENRICK, Charles; 34 Andrew Lane, Schaumburg, IL 60193 (US). ANDERSON, Matthew; 8556 235th Avenue, Salem, WI 53168 (US).			
(74) Agent: RUDISILL, Stephen, G.; Arnold, White & Durkee, P.O. Box 4433, Houston, TX 77210-4433 (US).			

(54) Title: DOCUMENT FACING METHOD AND APPARATUS



## (57) Abstract

A document facing apparatus for reversing the face orientation of a document (20) includes four substantially similar document folding modules (12, 14, 16, 18), each having a document gripping and advancing portion (40) and a folding portion (48). The gripping and advancing portion engages the document along a first longitudinal half portion, with substantially the other longitudinal half portion extending outwardly of the gripping and advancing portion, and advances the entire document through the folding module. The document folding portion engages the document along its other longitudinal half portion and folds the other longitudinal half portion of the document to a substantially 90 degree angle relative to its initial orientation upon entering the document folding portion. Four document folding modules (12, 14, 16, 18) may be aligned in a given sequence such that a document passing therethrough will have its face orientation reversed.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroun			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

**DOCUMENT FACING METHOD AND APPARATUS****BACKGROUND OF THE INVENTION**

The invention is directed generally to the field of document handling apparatus such as bill counting, bill sorting, bill discriminating or authenticating apparatus and the like, and more particularly to a novel document facing method and apparatus for reversing the face orientation of a document such that all of the documents handled by the document or bill handling apparatus will be in the same, "face-up" or "face-down" orientation.

Many document handling systems such as bill counters, bill discriminator and bill authentication apparatus identify and/or sort currency bills by scanning and identifying characteristics on one or both surfaces or faces of the bills. Some systems automatically reject bills which are fed through the system in oppositely facing orientation. In this regard, documents such as currency bills are generally defined as having two face orientations, namely a "face-up" or obverse facing orientation or a "face-down" or reverse facing orientation. Thus, each bill or document has an obverse face, for example the face bearing a portrait of a U.S. president in U.S. currency, and a reverse face, for example the surface bearing other pictorial information, such as the word "one" in large letters in U.S. \$1 currency, and pictures of various U.S. government buildings in other denominations of U.S. currency.

Often, in bill handling systems which sort the bills by denomination, it is desirable that the sorted bills be in the same face orientation, that is either all "face-up" or all "face-down."

A number of prior art systems and devices have devised various mechanisms for reversing the face orientation of documents such as bills in various bill handling systems. However, as more fully discussed below, most of the systems are either relatively complex and cumbersome, and often relatively slow in operation. In contrast, it is an object of the invention to provide a bill facing apparatus which is relatively simple and reliable in operation and capable of operation at relatively high speed such that it may be used with or incorporated into a relatively high speed bill handling apparatus.

U.S. Patent No. 3,930,581 relates to a sheet feeding mechanism. The patent describes (e.g., FIG. 5) oppositely rotated drums for reversing the face orientation of

bills. However, the documents must be transferred between oppositely rotating drums which are of different diameters. This could cause tearing or jamming of the documents.

U.S. Patent No. 4,398,088 relates to an automatic bank note transaction apparatus. As described with reference to FIG. 4 of this patent, a note is turned over by running it through a generally U-shaped path which in effect reverses the orientation of the note, and then returning the note in this turned-over orientation to the beginning of the path. Thus, each turned-over note must be run through at least part of the apparatus twice.

U.S. Patent No. 4,465,192 relates to an apparatus for processing "paper sheets." The operation is such that reversibly oriented bills are fed to a separate paddle wheel or vane wheel which reverses the orientation of the bills as it deposits them into an associated stacking chamber 34 (FIG. 3). From this separate stacking chamber 34, the bills may at some appropriate time be fed out from the bottom part of the chamber with their now "face-up" orientation and returned to the inlet area of the device to be re-evaluated. Thus, these bills must run through at least part of the apparatus twice.

U.S. Patent No. 4,542,829 relates to apparatus for sorting "sheets" according to their patterns. The patent discloses only a functional block (see FIG. 1) designated as a "turn-over mechanism 12." No details of this mechanism are disclosed. The patent appears to be directed primarily to the algorithm by which a digital correlator means operates in connection with determining which side of the bill has the better correlation (column 5, lines 45-53).

U.S. Patent No. 4,587,408 describes an automatic depositing/dispensing apparatus which includes a bill reversal mechanism. The operation with respect to this reversal mechanism is described with reference to FIGS. 6-9. A "judgment section" 30 judges notes to be reversed, and they are directed to a conveyor path 32c where they are fed to a paddle wheel 31 which reverses the bills and deposits them in a temporary holding tray 41. This tray 41 may later be moved into a position where pinch rollers (not shown) transfer the notes in their now corrected orientation back to the inlet to be run again through the judgment section 30. This involves the rather time-consuming feature of first separating out reverse oriented bills, flipping them over and then reintroducing them back to the beginning of the conveyor path of the machine.

U.S. Patent No. 4,690,268 relates to a sheet convey apparatus including a twisted convey path which turns the sheets 180°. The structure of this twisted convey path is illustrated in FIGS. 3-7 of the drawings, and includes a belt which is twisted 720° and looped in a figure-8 configuration.

U.S. Patent No. 4,697,071 concerns a "circulation-type" automatic money receiving and paying machine with note side identifying and note turning-over sections. Here, the note turning-over section (see FIG. 1) is located downstream of the identifying section. The turning over mechanism is shown in FIG. 3, and its operation is described with reference to FIGS. 4a-f and 5a-e. This is an involved mechanism incorporating a number of belts, rollers, vanes and a pivoting "direction changing board."

U.S. Patent No. 4,856,768 relates to a separating device for a "sheet collecting apparatus." The device presents separate paths for obverse and reverse oriented bills; however, it would appear that the bills are not flipped as such, but merely that only bills with the same orientation are stacked into the container at a given time. That is, if obverse oriented bills are being stacked in the container, the reverse oriented bills are held until the container is emptied, and then only reverse oriented bills are stacked in the container. Although the description states, at column 4, lines 37-38 "the sides of the bank notes are aligned in the same manner when the bank notes are collected in the collecting chamber 168," it does not appear that a flipping of the bills to achieve this would in fact take place with the mechanism as illustrated and described.

### SUMMARY OF THE INVENTION

Accordingly, it is a general object of the invention to provide a novel and improved bill facing method and apparatus.

A related object is to provide a bill facing method and apparatus which can be operated at relatively high speeds.

A further related object is to provide a bill facing apparatus in accordance with the foregoing objects which can be incorporated into the bill transport path of a bill handling machine.

Briefly, and in accordance with the foregoing objects, a document facing apparatus for reversing the face orientation of a document comprises four substantially

similar document folding modules, each of the folding modules comprising a document gripping and advancing portion for engaging the document along a first longitudinal half portion of the document, with substantially the other longitudinal half portion of the document extending outwardly of the gripping and advancing portion, and for advancing the entire document through the folding module; and a document folding portion for engaging the document along the other longitudinal half portion of the document and folding the other longitudinal half portion of the document to a substantially 90° angle relative to its orientation upon entering said document folding portion, the four document folding modules being aligned in a sequence such that a document passing therethrough will have its face orientation reversed.

In accordance with another aspect of the invention, a document folding module for use in an apparatus for changing the orientation of a document comprises a document gripping and advancing portion for engaging the document in a first longitudinal half portion of the document, with substantially the other longitudinal half portion of the document extending outwardly of the gripping and advancing portion, and for advancing the entire document through the folding module; and a document folding portion for engaging the document along the other longitudinal half portion of the document and folding the other longitudinal half portion of the document to a substantially 90° angle relative to its orientation upon entering said document folding portion.

In accordance with another aspect of the invention, a method for reversing the face orientation of a document comprises four gripping the document in a first longitudinal half portion of the document, with substantially the other longitudinal half portion of the document extending freely outwardly; advancing the entire document in a first direction; folding the other longitudinal half portion of the document to a substantially 90° relative to angle its initial orientation substantially simultaneously with said gripping and advancing; and repeating said gripping advancing and folding steps in a predetermined sequence such that a document will have its face orientation reversed, after four iterations of said gripping, advancing and folding steps.

**BRIEF DESCRIPTION OF THE DRAWINGS**

In the drawings:

FIG. 1 is a simplified diagram illustrating operation of the bill facing apparatus of the invention;

FIG. 2 is a series of simplified diagrams showing the stages of operation of the apparatus of the invention for reversing the face orientation of a bill, taken on edge of a bill as it is transported through the apparatus of the invention, as indicated generally by the lines 2-2 of FIG. 1;

FIG. 3 is a side elevation of the apparatus of the invention;

FIG. 4 is a top plan view of the apparatus of the invention;

FIG. 5 is a perspective view of the apparatus of the invention;

FIGS. 6-8 are respective elevations and FIG. 9 is a perspective view of a curved guide plate portion of the apparatus of the invention; and

FIGS. 10-12 are elevations and FIG. 13 is a perspective view of a secondary guide member of the apparatus of the invention.

**DETAILED DESCRIPTION OF THE ILLUSTRATED EMBODIMENT**

While the apparatus of the invention may be utilized with respect to documents of other types, the description will be facilitated by reference to the specific problem of reversing the face orientation of a currency bill. In this regard, the drawings illustrate a U.S. \$1 bill as a specific example.

Referring now to the drawings, and initially to FIGS. 1 and 2, a document facing apparatus in accordance with the invention is designated generally by the reference numeral 10. In accordance with another aspect of the invention, four substantially similar modules 12, 14, 16 and 18 make up the document facing apparatus. Each of these modules 12, 14, 16 and 18, which may be referred to as "folding modules," is adapted to fold one half of a document at an angle of 90° relative to its orientation upon entering the module while advancing the document therethrough. Thus, by aligning a respective modules end to end as viewed in FIG. 1 and appropriately configuring or locating respective components of each module, as more fully described below, the entire document 20, which may be a currency bill, can be rotated by 180°, that is, have its face

orientation reversed. It will be appreciated that the bill 20 can be rotated by  $90^\circ$  by the use of two such modules, for example, the modules 12 and 14 if desired. While the invention is illustrated and described herein with reference to the problem of reversing the face orientation of a bill, that is, rotating it by  $180^\circ$ , the principles of the invention may be utilized for rotating a bill through some other number of degrees without departing from the invention. Thus, the individual modules 12, 14, 16 and 18 could also be designed to rotate or fold a bill 20 such that one half of the bill forms some angle less than  $90^\circ$ , with subsequent modules being designed to fold alternating halves of the bill through the same angle to return the bill to a flat condition, whereby two such modules may rotate the bill by less than  $90^\circ$  and four such modules would rotate the bill by some angle between  $90^\circ$  and  $180^\circ$ .

However, in the illustrated embodiment, four substantially similar such modules are utilized, each folding one half of the bill 20 over to a  $90^\circ$  angle with respect to its orientation prior to entering the module. The four modules are aligned with each other so as to fold alternating halves of the bill by  $90^\circ$  a total of four times in the same sense, that is, either clockwise or counterclockwise, to achieve a reversal of the face orientation of the bill as indicated at stations (a) through (e) of FIG. 1.

FIG. 2 indicates generally an edge view of the bill 20 as taken for example at the line 2-2 of FIG. 1 as it is advanced through each of the positions (a) through (e) of FIG. 1. In the embodiment illustrated in FIG. 2, the successive directions of folding of the bill are indicated by arrows, and are in the counterclockwise direction. However, it should be recognized that the modules may be oriented to so fold the bill 20 in successive clockwise intervals when viewed from the same location, without departing from the invention.

As shown diagrammatically in FIGS. 1 and 2, at a first position (a) a document such as a U.S. \$1 bill enters the facing apparatus 10 in a "face-up," flat orientation. At a first station or module 12, the first half of the bill is bent down by a  $90^\circ$  angle relative to its flat or horizontal orientation when entering, as shown at position (b). It will be understood that while the diagrammatic illustration of FIG. 1 shows a space between the various modules 12, 14, 16 and 18, in practice, the bill is fed directly from one module to the next immediately adjacent module with virtually no such space therebetween. The



additional space in FIG. 1 has been added to permit illustration of the orientation of the bill as it enters each subsequent module. Thus, as shown in FIG. 2, at position (b) one half of the bill is now bent at a  $90^\circ$  angle relative to the other half. It should be noted that in the case of documents such as currency bills, the halves referred to herein comprise longitudinal halves of the bill. Similarly, with any document having one dimension greater than another dimension, the fold will be transversely through the longer dimension of the document.

At the second module 14, the half of the bill which was folded by the module 12 is gripped and held in its now vertical orientation (as viewed in FIG. 2) while the other half of the bill is folded in a clockwise direction as viewed in FIG. 2 through substantially  $90^\circ$ , such that the entire bill is now flat in a vertical position, as shown at position (c).

At the next module 16, the bottom half of the bill is folded in a clockwise direction  $90^\circ$  to result in the orientation shown at position (d) in FIGS. 1 and 2. Finally, at the last module 18, the top vertical half of the bill as viewed in FIG. 2 is folded  $90^\circ$  to result in the bill returning to a flat and substantially horizontal position as shown at position (e), where it exits the apparatus 10.

It will be noted with reference to FIGS. 1 and 2 that this series of counterclockwise folds has resulted in a reversal of the face orientation of the bill between its entering orientation at position (a) and its exiting orientation at position (e), such that at position (e) the reverse face of the bill is now visible. In the example illustrated, with a U.S. \$1 bill, this reverse face bears the enlarged letters ONE.

It will be noted that if some angle other than  $90^\circ$  is chosen for the modules 12, 14, 16 and 18, the exiting orientation of the bill will be at some angle other than  $180^\circ$  relative to its entering orientation. For example, if each module folds one half of the bill through an angle of  $60^\circ$  relative to its entering orientation, the exiting bill will be rotated through a total of  $120^\circ$  of its entering orientation. Similarly, if each module folded one half of the bill by  $110^\circ$  then the exiting bill would be rotated by a total of  $220^\circ$  relative to its entering orientation. Moreover, lesser angles of rotation of the bill could be achieved by the use of only two such modules. For example, it will be noted that the bill exits the second module 14 at position (c) rotated by  $90^\circ$  relative to its entering orientation. If

smaller angles are employed in each of the modules 12 and 14, the exiting bill could be effectively rotated by some lesser amount, from 0 to 90°, relative to its entering orientation, using only the two modules 12 and 14. However, it is the goal in the illustrated embodiment to reverse the face orientation of the bill, that is, to rotate the bill through 180°.

FIGS. 3-5 illustrate the substantially similar modules 12, 14, 16 and 18 in side elevation and respectively configured and aligned to form the four-module bill facing apparatus of FIG. 1 for reversing the face orientation of a bill. Since the various components of the four modules 12, 14, 16 and 18 are substantially identical, only one such module will be fully described with like reference numerals being utilized the like parts and components of the other modules.

Referring now to FIGS. 3-5, the module 12 has a bill gripping and advancing portion 40 which includes a plurality of pairs of opposed rollers 44 and 46 which grippingly engage and rotate so as to advance the bill 20 through the module in the direction indicated by the arrows. This is so as to receive a bill from inlet rollers 42 of the apparatus and to feed it through to the next bill folding module 14. A second portion 48 of the module 12 comprises a bill folding portion which utilizes a curved guide member or plate 50 and a secondary guide member or plate 52 opposingly facing the first guide member 50 so as to guide substantially one half of the bill into a 90° folded configuration with respect to its orientation when entering the module 12, while the first longitudinal half portion of the bill is held between the pairs of rollers 44 and 46 which advance it into and along the curved guide plate 50. In the illustrative embodiment, one roller of each of the pairs of rollers 44 and 46 is driven by a motor 54 and a drive train 60. The respective curved guide plate 50 and secondary guide plate 52 are shown in further detail in FIGS. 6-9 and FIGS. 10-13 respectively.

The main or curved guide plate member 50 has a curved surface 64 and a bent-over leading lip 66 which are configured and arranged for encountering and bending over one longitudinal half of a bill as the bill encounters the edge 66 and surface 64. The secondary guide plate or member 52 has a flat surface 68 which generally guides one half of the bill into the entrance of the rollers of the next module, as well as into the outlet rollers 62 at the end of the apparatus 10. The flat guide plate 52 also has an edge surface

70 which serves to control the bend radius of the bent or folded over bill as it is transferred between first module 12 and second module 14 and between the third module 16 and the fourth module 18, to maintain the bend radius as the folded bill is fed into the next set of rollers in each instance.

5 The relative orientation of the respective guide plates 50, 52 and the gripping and advancing rollers 44, 46 are selected in each of the modules 12, 14, 16 and 18 to achieve the desired folding operation as illustrated in FIGS. 1 and 2 in that particular module. Thus, in the modules 12 and 16, for example, the bill emerges with its two halves folded at a 90° angle, whereas in the modules 14 and 18 the bill emerges in a flat or unfolded  
10 condition.

In the illustrative embodiment, the respective pairs of rollers 46 and 48 are mounted on generally parallel shafts, which extend into respective drive trains 60 so as to be driven via the drive trains 60, by respective motors 54 to achieve a constant speed. Preferably, relatively accurate servo-motors are utilized so that the speed is maintained  
15 constant throughout the four modules 12, 14, 16 and 18. The illustrated embodiment uses two such motors 54, with appropriate drive trains for driving all four modules. However, one servo-motor may be used for each module 12, 14, 16, 18, or, one servo-motor for the "outer" modules 12, 18 and one for the "inner" modules 14, 16, or one motor for all modules, with suitable belt and pulley interconnections, without departing  
20 from the invention. In one embodiment of the invention illustrated, speeds of 1,000 bills per minute or greater are possible.

Advantageously, it will be seen that the bill facing apparatus of the invention may readily be placed "in line" in the transport path of a bill handling machine for reversing or otherwise modifying the face orientation of a bill at any desired point in the bill  
25 handling process. The relative accuracy of the apparatus of the invention in reversing the face orientation of the bills, coupled with its relatively high speed of operation as noted above, make it particularly useful for reversing bill orientations in connection with high speed bill handling apparatus such as sorters, denominators and authenticating apparatus. Also, it will be seen that the bill 20 enters and leaves the apparatus 10 of the illustrated  
30 embodiment of the invention in substantially the same plane and substantially centered along the same or a common axis through the apparatus 10.

While particular embodiments and applications of the present invention have been illustrated and described, it is to be understood that the invention is not limited to the precise construction and compositions disclosed herein and that various modifications, changes, and variations may be apparent from the foregoing descriptions without departing from the spirit and scope of the invention as defined in the appended claims.

**WHAT IS CLAIMED IS:**

1. A document facing apparatus for reversing the face-up/face-down orientation of a generally rectilinear document having an obverse face and a reverse face, a longitudinal dimension, opposed longitudinal edges and opposed lateral edges, said apparatus comprising four substantially similar document folding modules, each of said folding modules comprising a document gripping and advancing portion for engaging the document along a first longitudinal half portion of the longitudinal dimension of the document, with substantially the other longitudinal half portion of the longitudinal dimension of the document extending outwardly of the gripping and advancing portion, and for advancing the entire document through the folding module; and a document folding portion for engaging the document along said other longitudinal half portion of the longitudinal dimension of the document and folding said other longitudinal half portion of the longitudinal dimension of the document to a substantially 90° angle relative to its orientation upon entering said document folding portion, said four document folding modules being aligned in a sequence such that a document passing therethrough will have its face-up/face-down orientation reversed.

2. The apparatus of claim 1 wherein said document folding modules are respectively aligned with each other, such that the document gripping and advancing portion of each subsequent module is aligned with the document folding portion of the preceding module for receiving the half of the document which was folded by the document folding portion of the preceding module, such that the of respective halves of the document constituting the folded half of the document and the engaged half of the document alternate as the document passes through each subsequent document folding module.

3. The apparatus of claim 1 wherein said document folding modules are respectively aligned with each other, such that the document folding portion of each module folds one half of the document in the same one of a clockwise and counterclockwise direction, said clockwise and counterclockwise directions being defined as viewed from one of the longitudinal edges of the document.

4. The apparatus of claim 1 wherein said document gripping and advancing portion comprises a plurality of rollers arranged in opposing pairs for engaging a document therebetween.

5. The apparatus of claim 1 wherein said folding portion comprises a curved guide plate.

6. The apparatus of claim 5 wherein said folding portion comprises a secondary guide plate aligned with said first guide plate in a predetermined fashion.

7. A document folding module for use in an apparatus for changing the face-up/face-down orientation of a generally rectilinear document having an obverse face and a reverse face, a longitudinal dimension, opposed longitudinal edges and opposed lateral edges, said document folding module comprising a document gripping and advancing portion for engaging the document along a first longitudinal half portion of the longitudinal dimension of the document, with substantially the other longitudinal half portion of the longitudinal dimension of the document extending outwardly of the gripping and advancing portion, and for advancing the entire document through the folding module; and a document folding portion for engaging the document along said other longitudinal half portion of the longitudinal dimension of the document and folding said other longitudinal half portion of the longitudinal dimension of the document to a substantially 90° angle relative to its orientation upon entering said document folding module;

wherein said folding portion comprises a curved guide plate; and  
a secondary guide plate aligned with said first guide plate in a predetermined fashion.

8. The apparatus of claim 7 wherein said document gripping and advancing portion comprises a plurality of rollers arranged in opposing pairs for engaging a document therebetween.

9. A document facing method for reversing the face-up/face-down orientation of a generally rectilinear document having an obverse face and a reverse face, a longitudinal dimension, opposed longitudinal edges and opposed lateral edges, said method comprising gripping and advancing the document along a first longitudinal half portion of the longitudinal dimension of the document, with substantially the other

longitudinal half portion of the longitudinal dimension of the document extending freely outwardly and folding said freely outwardly extending longitudinal half portion of the longitudinal dimension of the document to a substantially 90° angle relative to its orientation upon entering said document folding portion substantially simultaneously with said gripping and advancing; repeating said gripping, advancing and folding steps in a predetermined sequence such that a document will have its face-up/face-down orientation reversed after four iterations of said gripping, advancing and folding steps.

10. The method of claim 9 wherein said predetermined sequence is such that the gripping and advancing steps of each subsequent iteration are performed upon the half of the document which was folded by folding step of the preceding iteration, such that the respective halves of the document constituting the folded half and the engaged half of the document alternate in each subsequent iteration.

11. The method of claim 9 wherein said predetermined sequence is such that the folding step of each iteration folds one half of the document in the same one of a clockwise and counterclockwise direction, said clockwise and counterclockwise directions being defined as viewed from one of the longitudinal edges of the document.

12. A document handling apparatus for changing the face-up/face-down orientation of a generally rectilinear document having an obverse face and a reverse face, a longitudinal dimension, opposed longitudinal edges and approved lateral edges, said apparatus comprising at least two substantially similar document folding modules, each of said folding modules comprising a document gripping and advancing portion for engaging the document in a first longitudinal half portion of the longitudinal dimension of the document, with substantially the other longitudinal half portion of the longitudinal dimension of the document extending outwardly of the gripping and advancing portion, and for advancing the entire document through the folding module; and a document folding portion for engaging the document along said other longitudinal half portion of the longitudinal dimension of the document and folding said other longitudinal half portion of the longitudinal dimension of the document to a selected angle relative to its orientation upon entering the module, said at least two document folding modules being aligned in a sequence such that a document passing therethrough will have its face-up/face-down orientation rotated by said selected angle or a multiple thereof.

13. The apparatus of claim 12 wherein said document folding modules are respectively aligned with each other, such that the document gripping and advancing portion of each subsequent module is aligned with the document folding portion of the preceding module for receiving the half of the document which was folded by the document folding portion of the preceding module, such that the respective halves of the document constituting the folded half and the engaged half of the document alternate as the document passes through each subsequent document folding module.

14. The apparatus of claim 12 wherein said document folding modules are respectively aligned with each other, such that the document folding portion of each subsequent module folds one half of the document in the same one of a clockwise and counterclockwise direction, said clockwise and counterclockwise directions being defined as viewed from one of the longitudinal edges of the document, as the document folding portion of each other document folding module.

15. The apparatus of claim 12 wherein said document gripping and advancing portion comprises a plurality of rollers arranged in opposing pairs for engaging a document therebetween.

16. The apparatus of claim 12 wherein said folding portion comprises a curved guide plate.

17. The apparatus of claim 16 wherein said folding portion comprises a secondary guide plate aligned with said first guide plate in a predetermined fashion.

18. A document folding module for use in an apparatus for changing the orientation of a document, said document folding module comprising a document gripping and advancing portion for engaging the document along a first longitudinal half portion of the document, with substantially the other longitudinal half portion of the document extending outwardly of the gripping and advancing portion, and for advancing the entire document through the folding module; and a document folding portion for engaging the document along said other longitudinal half portion of the document and folding said other longitudinal half portion to a selected angle relative to its orientation upon entering the module.

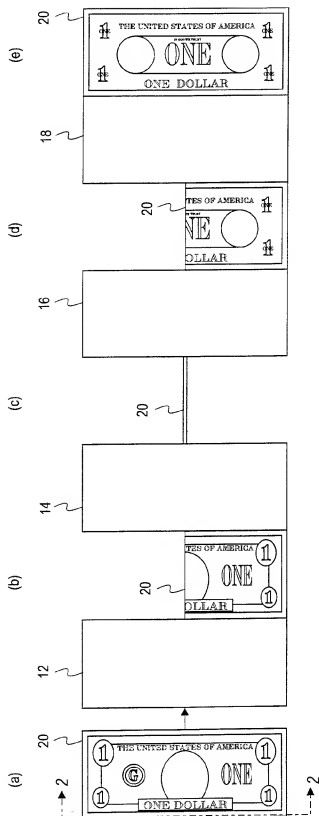


19. The apparatus of claim 18 wherein said document gripping and advancing portion comprises a plurality of rollers arranged in opposing pairs for engaging a document therebetween.

20. A document facing method for reversing the face-up/face-down orientation of a generally rectilinear document having an obverse face and a reverse face, a longitudinal dimension, opposed longitudinal edges and opposed lateral edges, said method comprising gripping and advancing the document along a first longitudinal half portion of the longitudinal dimension of the document, with substantially the other longitudinal half portion of the longitudinal dimension of the document extending freely outwardly and folding said freely outwardly extending longitudinal half portion of the longitudinal dimension of the document to a selected angle relative to its orientation upon entering said document folding portion substantially simultaneously with said gripping and advancing; repeating said gripping, advancing and folding steps in a predetermined sequence such that a document will have its face orientation rotated by a selected amount after at least two iterations of said gripping, advancing and folding steps.

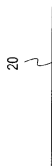
21. The method of claim 20 wherein said predetermined sequence is such that the gripping and advancing steps of each subsequent iteration are performed upon the half of the document which was folded by folding step of the preceding iteration, such that the respective halves of the document constituting the folded half and the engaged half of the document alternate in each subsequent iteration.

22. The method of claim 20 wherein said predetermined sequence is such that the folding step of each iteration folds one half of the document in the same one of a clockwise and counterclockwise direction, said clockwise and counterclockwise direction being defined as viewed from one of the longitudinal edges of the document.

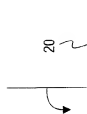
FIG. 1

2/7

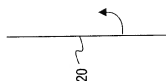
(e)



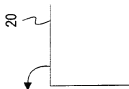
(d)



(c)

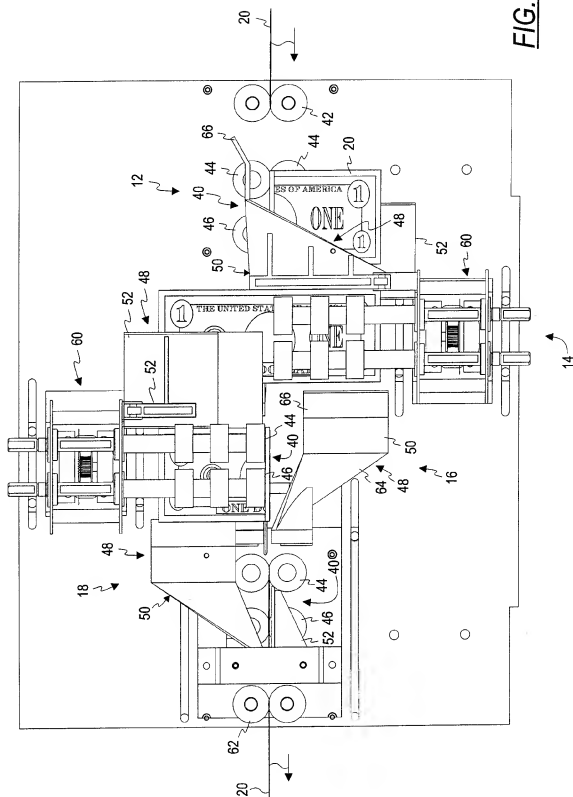


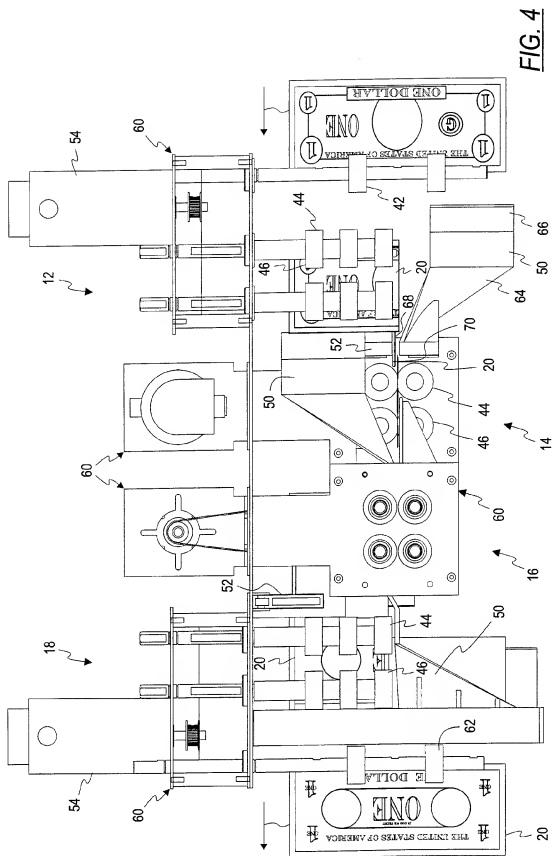
(b)



(a)

FIG. 2

**FIG. 3**



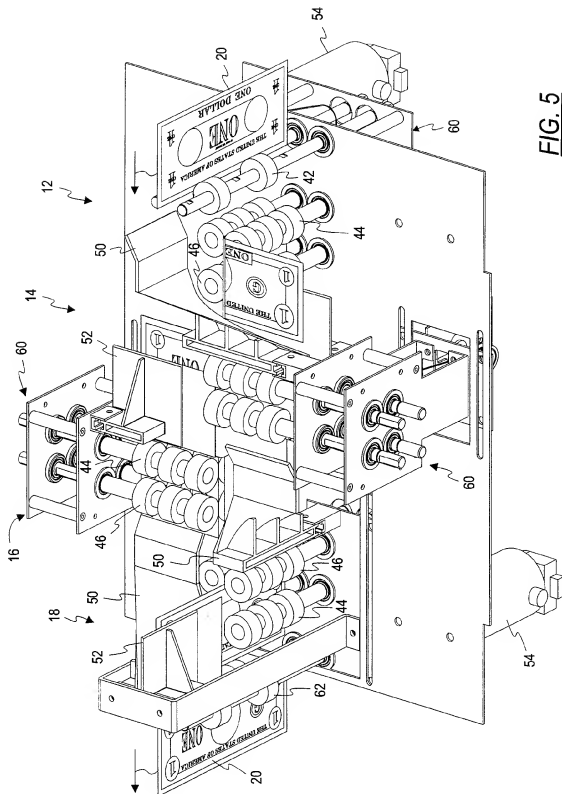
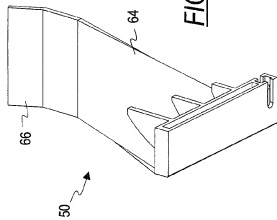
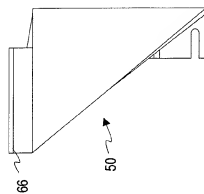
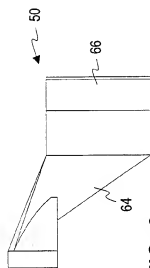
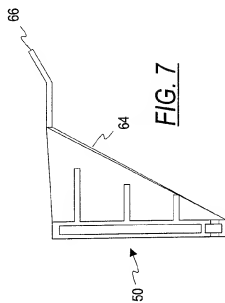
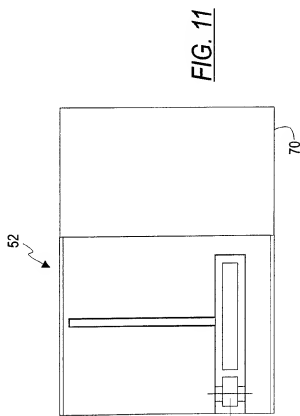
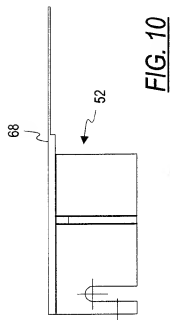
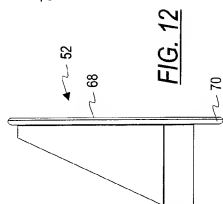
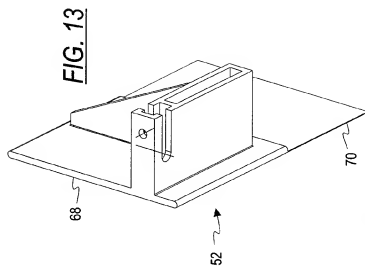


FIG. 5

FIG. 9FIG. 8FIG. 6FIG. 7





## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US99/23421

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :B31F 1/00; B07C 5/00

US CL :493/438, 460; 209/534

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 493/395, 405, 409, 416, 438, 446, 447, 453, 455, 456, 460; 209/534, 545; 271/186

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and where practicable, search terms used)

APS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4,721,504 A (Cogswell et al.) 26 January 1988, col. 4, line 24 - col. 7, line 16.	7, 8, and 12-22
A	US 5,112,291 A (VerMehren) 12 May 1992, see entire document	ALL
A	US 4,690,268 A (Ueshin) 01 September 1987, see entire document	ALL

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

03 DECEMBER 1999

Date of mailing of the international search report

07 FEB 2000

Name and mailing address of the ISA/US

Commissioner of Patents and Trademarks

Box PC7

Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

JOHN SIPOS

Telephone No. (703) 305-0304

*Sheila Vanev*  
*Patent Specialist*  
*Technology Center 3700*